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Attorneys for Defendant RAMESH "SUNNY" BALWANI

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA SAN JOSE DIVISION

UNITED STATES OF AMERICA,

Plaintiff,

v.

RAMESH "SUNNY" BALWANI,

Defendant.

Case No. 18-CR-00258-EJD

DECLARATION OF JEFFREY B. COOPERSMITH RE: DEFENDANT RAMESH "SUNNY" BALWANI'S MOTION TO ALLOW CROSS-EXAMINATION RELATING TO DR. ADAM ROSENDORFF'S POST-THERANOS EMPLOYMENT

Judge: Honorable Edward J. Davila

DECLARATION OF JEFFREY B. COOPERSMITH

I, Jeffrey B. Coopersmith, declare as follows:

- 1. I am lead counsel for defendant Ramesh "Sunny" Balwani, an attorney admitted to practice in the State of California, a partner at the law firm of Orrick, Herrington & Sutcliffe LLP, and counsel of record for Mr. Balwani. I submit this declaration in support of Mr. Balwani's motion to allow cross-examination relating to Dr. Adam Rosendorff's post-Theranos employment.
- 2. Attached as **Exhibit 1** is a true and correct copy of a January 22, 2015 At-Will Employment, Confidential Information, Invention Assignment and Arbitration Agreement between Invitae Corporation and Dr. Adam Rosendorff, marked as Trial Exhibit ("TX") 20447.
- 3. Attached as **Exhibit 2** is a true and correct copy of an August 28, 2017 separation agreement between Invitae Corporation and Dr. Adam Rosendorff, marked as TX 20346, redacted to protect personal information.
- 4. Attached as **Exhibit 3** is a true and correct copy of Invitae Corporation's November 9, 2021 Quarterly Report pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 (Form 10-Q) for the quarterly period ended September 30, 2021.
- 5. Attached as **Exhibit 4** is a true and correct copy of a March 31, 2022 email from government counsel (Robert Leach) to defense counsel (Molly McCafferty) titled "U.S. v. Balwani Letter from J. Coopersmith."
- 6. Attached as **Exhibit 5** is a true and correct copy of a March 18, 2021 press release by the United States Attorney's Office for the Northern District of California titled "uBiome Co-Founders Charged With Federal Securities, Health Care Fraud Conspiracies," marked as TX 20420.
- 7. Attached as **Exhibit 6** is a true and correct copy of a February 19, 2021 notice from the California Department of Public Health to Dr. Adam Rosendorff and Timothy Bow, marked as TX 20347.

1	8. Attached as Exhibit 7 is a true and correct copy of an April 23, 2021 notice from
2	the California Department of Public Health to Dr. Adam Rosendorff and Timothy Bow, marked
3	as TX 20348.
4	9. Attached as Exhibit 8 is a true and correct copy of a May 6, 2021 notice from the
5	Centers for Medicare & Medicaid Services to Dr. Adam Rosendorff, marked as TX 20349,
6	redacted to protect personal information.
7	10. Attached as Exhibit 9 is a true and correct copy of an email chain, including a
8	May 26, 2021 email from Dr. Adam Rosendorff to Madhuri Hegde and LeeAnn Dennewitz titled
9	"Resigning from PerkinElmer," marked as TX 20446 (redactions original to the document as
10	received in discovery, and to protect personal information).
11	I declare under penalty of perjury that the foregoing is true and correct.
12	Executed April 12, 2022, at San Jose, California.
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14	/s/ Jeffrey B. Coopersmith
15	JEFFREY B. COOPERSMITH
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